



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/790,786
Applicant : Jingyu Lian, et al.
Filed : March 3, 2004
TC/A.U. : Not Yet Assigned
Examiner : Unknown
Confirmation No. : 4369
Docket No. : 0928.0067C
Customer No. : 27896
Title : Method for Forming A (III) Oriented BSTO Thin Film
Layer for High Dielectric Constant Capacitors

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(e) or (f) mailed May 24, 2004, enclosed are:

- ☒ Copy of the Notice to File Missing Parts of Application
- ☒ Petition Under 37 C.F.R. 1.47(a) (2 pages)
- ☒ Declaration in Support of Petition Under 37 C.F.R. 1.47(a) with attached appendices (18 pages)
- ☒ Check No. 8058 in the amount of \$130 for payment of the petition fee
- ☒ Petition for Extension of Time (2 pages)
- ☒ Check No. 8057 in the amount of the \$420.00 for payment of the 2 month extension of time fee
- ☐ Certified Copy of Priority Document No. DE
- ☐ executed Declaration
- ☐ Power to Prosecute
- ☐ Application Data Sheet
- ☐ Other:
- ☐ A copy of the Official Filing Receipt marked in red to show corrections that are needed. Please make the following corrections in the Official Filing Receipt:

Issuance of a corrected filing receipt is respectfully requested.

and the payment of the following fee(s):

- ☐ Filing fee of \$_____ (☐ Applicant claims Small Entity Status)
- ☐ Surcharge fee for Late Filing of Declaration of \$_____
- ☐ Other fees:

Total Fee due: \$_____.

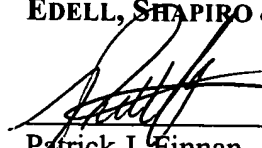
- ☐ Check No. _____ in the amount of \$_____ for the total fee is attached.
- ☐ Please charge \$_____ to Deposit Account No. 05-0460 for the total fee.
This paper is being submitted in duplicate.
- ☒ The Commissioner is hereby authorized to charge any additional appropriate fees that may be required by this paper, and to credit any overpayment, to Deposit Account No. 05-0460.

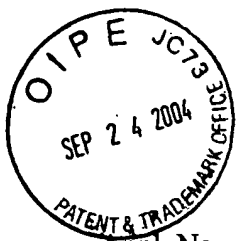
Dated: 09/24/04

EDELL, SHAPIRO & FINNAN, LLC
CUSTOMER NO. 27896
1901 Research Boulevard, Suite 400
Rockville, MD 20850
(301) 424-3640

Respectfully submitted by
EDELL, SHAPIRO & FINNAN, LLC

By: _____


Patrick J. Finnan
Reg. No. 39,189



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Film Layer for High Dielectric Constant Capacitors

Mail Stop Missing Parts

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith are the Copy of Notice to File Missing Parts of Application; Response to Notice to File Missing Parts (2 pages); Petition Under 37 C.F.R. 1.47(a) (2 pages); Check No. 8058 in the amount \$130 for payment of the petition fee; Declaration in Support of Petition Under 37 C.F.R. 1.47(a) with attached appendices (18 pages); Petition for Extension of Time (2 pages); Check No. 8057 in the amount of the \$420.00 for payment of the 2 month extension of time fee; 2 Revocation of Power of Attorney documents; 2 Power of Attorney & Correspondence Address Indication Forms; 2 Statement Under 37 CRF 3.73(b) with attached assignments; Letter of Authority; Assignment; Assignment Recordation Cover Sheet; and Check No. 8059 in the amount of \$40 for payment of the Assignment recordation fee.

The Commissioner is hereby authorized to charge payment of any additional fees required for the above-identified application or credit any overpayment to Deposit Account No. 05-0460.

Respectfully submitted,

Patrick J. Finnan
Registration No. 39,189

Hand-delivered: September 24, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/790,786	03/03/2004	Jingyu Lian	INF-109

CONFIRMATION NO. 4369

FORMALITIES LETTER



OC000000012753653

Date Mailed: 05/24/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Hua Shen
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

DOCKED

05/27/2004 JAU/DOU 10/790,786
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE